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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/737,175	12/13/2000	Theodore F. Rabenko	36900/CAG/B600	8414
23363	7590	08/23/2005	EXAMINER	
CHRISTIE, PARKER & HALE, LLP			HARPER, KEVIN C	
PO BOX 7068			ART UNIT	
PASADENA, CA 91109-7068			PAPER NUMBER	
			2666	

DATE MAILED: 08/23/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/737,175

Applicant(s)

RABENKO ET AL.

Examiner

Kevin C. Harper

Art Unit

2666

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 21 March 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-29 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 18-29 is/are allowed.
- 6) ☒ Claim(s) 1-3, 5 and 11 is/are rejected.
- 7) ☒ Claim(s) 4, 6-10 and 12-17 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### ***Response to Arguments***

Applicant's arguments filed March 21, 2005 have been fully considered but they are not persuasive.

1. Applicant argued that Kao does not disclose data control flags. However, the clock signal transmitted is used to control data reception at the receiver (col. 3, line 67 through col. 4, line 4).

2. Applicant argued that that Kao does not fractionally resample the data. However, in the present invention, resampling is considered to be decimation and interpolation (spec., page 50, lines 14-16). In Kao, the fractional resampling is performed by generally as decimation (col. 4, lines 7-10) and interpolation (col. 4, lines 15-20), where a certain percentage of samples are added or removed.

### ***Drawings***

Replacement drawings were received on March 21, 2005. These drawings are acceptable.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-3, 5 and 11 are rejected under 35 U.S.C. 102(b) as being anticipated by Kao (US 4,815,109).

3. Regarding claim 1, Kao discloses a method of synchronizing data sampled by a first clock (fig. 2, item 14) to a second clock (item 54). The method comprises generating a clock error signal (SLIP signal from slip detector; col. 3, line 67 through col. 4, line 1) as a function of a data control flag (DERIVED CLKA; col. 3, lines 57-59) and fractionally resampling the data as a function of the clock error signal (col. 4, lines 1-4 and 7-20; col. 8, lines 1-3; fig. 5B, items 176 and 178; note: a percentage of samples are added or removed to provide fractional resampling of the signal; col. 5, lines 57-62).

4. Regarding claim 2, the data comprises voice (abstract, lines 1-6; col. 8, line 55).

5. Regarding claim 3, the data receive flag (fig. 4, CLKA) is periodically generated as a function of the first clock (col. 3, lines 57-58) and a data complete flag (fig. 4, CLK2) is periodically generated as a function of a second clock (col. 3, lines 59-64), where the clock error signal is generated as a function of the data received flag and data complete flag (col. 3, line 67 through col. 4, line 1).

6. Regarding claim 5, the data is sampled with a first clock and received data is partitioned into data packets (abstract, lines 1-6; col. 1, lines 37-41 and 42-45), where the data received flag is generated upon receipt of each of the data packets (col. 1, lines 42-45; col. 1, lines 20-37).

7. Regarding claim 11, Kao discloses a synchronization circuit (fig. 2, item 52; fig. 3) comprising an error generation unit (fig. 2, item 50) that generates a clock error signal (fig. 2, SLIP) as a function of an average far end sampling rate (col. 1, lines 23-25) and a near end sampling rate (col. 1, lines 49-53), and a sample tracker (fig. 2, item 52) for receiving sampled

Art Unit: 2666

data packets (col. 1, lines 25-30 and 42-45) and fractionally resampling the sampled data as a function of the clock error signal (col. 4, lines 1-4; col. 3, lines 64-66; col. 1, lines 49-53).

***Allowable Subject Matter***

8. Claims 18-29 are allowed.
9. Claims 4, 6-10 and 12-17 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).


A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Art Unit: 2666

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin Harper whose telephone number is 571-272-3166. The examiner can normally be reached weekdays from 11:00 AM to 7:00 PM ET.

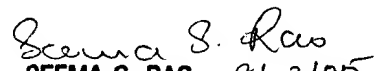
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Seema S. Rao, can be reached at 571-272-3174. The centralized fax number for the Patent Office is 571-273-8300. For non-official communications, the examiner's personal fax number is 571-273-3166 and the examiner's e-mail address is kevin.harper@uspto.gov.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications associated with a customer number is available through Private PAIR only. For more information about the PAIR system, see portal.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Kevin C. Harper

August 21, 2005

  
SEEMA S. RAO 8/23/05  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2600